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Demmelmair

## **Plasma sphingomyelins and carnitine esters of infants consuming whole goat or cow milk-based infant formulas or human milk**

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### **Conflict of Interest**

Sophie Gallier is an employee and Colin Prosser was an employee of Dairy Goat Co-operative (NZ) Ltd., which manufactured the infant formulas used in the study and sponsored the TIGGA study. The other authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### **Abbreviations:**

CIF: cow milk based infant formula

GIF: goat milk based infant formula

HM: human milk

MFGM: milk fat globule membrane

PC: glycerophosphocholines

SM: sphingomyelins

## Abstract

1 **Background:** Infant formulas are typically manufactured using skimmed milk, whey proteins,  
2 and vegetable oils, which excludes milk fat globule membranes (MFGM). MFGM contains  
3 polar lipids including sphingomyelin (SM).

4 **Objective:** Comparison of infant plasma SM and acylcarnitine species between infants who are  
5 breastfed or receiving infant formulas with different fat sources.

6 **Methods:** In this explorative study we focused on SM and acylcarnitine species concentrations  
7 measured in plasma samples from the TIGGA study (ACTRN12608000047392), where infants  
8 were randomized to receive either a cow milk-based infant formula (CIF) with vegetable oils  
9 only or a goat milk-based infant formula (GIF) with a goat milk fat (including MFGM) and  
10 plant oil mixture at least up to the age of 4 months. Breastfed infants were followed as a  
11 reference group. Using tandem mass spectrometry, SM species in the study formulas and SM  
12 and acylcarnitine species in plasma samples collected at the age of four months were analyzed.

13 **Results:** Total SM concentrations (around 42  $\mu\text{mol/L}$ ) and patterns of SM species were similar  
14 in both formulas. The total plasma SM concentrations were not different between the formula  
15 groups, but were 15 % (CIF) and 21% (GIF) lower in the formula groups than in the breast fed  
16 group. Between the formula groups, differences in SM species were statistically significant but  
17 small. Total carnitine and major (acyl) carnitine species were not different between the groups.

18 **Conclusions:** The higher total SM concentration in breastfed than in formula-fed infants might  
19 be related to a higher SM content in human milk, differences in cholesterol metabolism, dietary  
20 fatty acid intake or other factors not yet identified. SM and acylcarnitine species composition  
21 in plasma is not closely related to the formula fatty acid composition.

22 **Clinical Trial Registry number and website where it was obtained:**  
23 ACTRN12608000047392  
24 <https://www.anzctr.org.au/Trial/Registration/TrialReview.aspx?id=82514&isReview=true>  
25

26 **Keywords:** breastfeeding, infant formula, vegetable oil, goat milk, sphingomyelins,  
27 acylcarnitines  
28

## 29 **Introduction**

30 Observational studies have associated breastfeeding with optimal infant growth, lower  
31 incidence of infections, lower long term risk of obesity and type-2 diabetes, and potentially  
32 higher IQ-scores (1, 2). These findings strongly support the recommendation of exclusive  
33 breastfeeding for the first four to six months of life (3). Whenever breastfeeding is not possible,  
34 commercial infant formulas are a suitable alternative for infant feeding. The protein and  
35 carbohydrate components of formulas are typically based on cow skimmed milk and whey  
36 protein ingredients and the fat component on vegetable oils, resulting in structural and  
37 compositional differences to human milk fat which may induce undesired nutritional  
38 consequences for the infant (4). With a suitable mixture of vegetable oils, and supplementation  
39 with long chain polyunsaturated fatty acids, the fatty acid composition of infant formulas can  
40 be close to that of human milk (5). However, the fatty acid complexity of milk fat and the  
41 components of the milk fat globule membrane (MFGM) present in human milk, and all animal  
42 milk, is missing. Instead formulas include emulsifiers such as lecithin (6), and variable levels  
43 of polar lipids depending on the protein ingredients (7).

44 In addition to glycerophosphocholines (PC) and glycerophosphoethanolamines, a major class  
45 of MFGM polar lipids is sphingolipids, which can be differentiated into ceramides,  
46 phosphosphingolipids (sphingomyelins, SM) with a phosphocholine head group, and neutral  
47 glycosphingolipids with glucose, lactose or more complex carbohydrate residues (8). The  
48 MFGM contributes significant amounts of choline (9), cholesterol (10), and lipid-bound sialic  
49 acid from gangliosides (11) to the dietary intake of infants.

50 In contrast to the MFGM, vegetable lecithin does not provide SM, and the proportion of  
51 saturated fatty acids of its glycerophospholipids is lower than in MFGM glycerophospholipids  
52 (7, 12, 13). Comparisons between different formulas and human milk had previously focused  
53 on the total fatty acid composition, but with liquid chromatography - mass spectrometry detailed  
54 comparisons of polar lipids, including SM species, have become available (5, 14, 15).

55 A few clinical studies point towards positive effects of MFGM intake on neurological and  
56 immune system development of infants (16-21). However, there is little information on effects  
57 of MFGM intake on serum polar lipid species. The addition of MFGM is associated with higher  
58 serum cholesterol concentrations, closer to those found in breastfed infants, as compared to  
59 standard formulas with only vegetable oils emulsified with soy lecithin (22). Effects of different  
60 formulas or human milk on the global serum fatty acid composition have been reported, but SM  
61 species have hardly been studied (5). In preterm infants, beneficial effects of SM  
62 supplementation in formula on the neurobehavioral development have been observed (23).  
63 Also, there is only limited information available on the effects of the fatty acid composition of  
64 infant formulas on serum levels of individual SM species. In 4-month-old infants, Uhl et al.  
65 reported significantly higher concentrations of several SM species in breastfed compared to  
66 formula fed infants, but differences in the formula fatty acid composition were not reflected in  
67 the SM species (24). In the Cambridge Baby Growth Study, several shorter chain SM species  
68 were found at higher concentration in breastfed infants at the age of 3 months than in formula-  
69 fed infants, but total SM content was not significantly different (25). In the Barwon Infant study

70 differences of SM species between formula-fed and breastfed infants at six months of age were  
71 small compared to differences of other studied lipid classes (26). However, lipidomic analyses  
72 of serum and red blood cell membrane lipids from a Swedish study indicated that SM species  
73 contributed to the differentiation between infants fed formula supplemented with bovine  
74 MFGM compared to a control formula group (27).

75 Branched chain amino acid catabolites and intermediates of fatty acid beta-oxidation cross the  
76 mitochondrial membrane as carnitine esters, which makes short chain acylcarnitines indicators  
77 of amino acid catabolism and longer chain acylcarnitines indicators of fatty acid oxidation  
78 intensity (28). Although acylcarnitines are by now established markers for inborn errors of fatty  
79 acid oxidation, they are not frequently analyzed in infants as indicators of dietary effects on  
80 endogenous metabolism (29). In a piglet model, feeding of goat milk induced higher serum  
81 triacylglycerol levels and differences in the mRNA expression of lipid metabolism related genes  
82 compared to feeding human milk, cow milk or infant formula (30). Together with information  
83 about the fatty acid composition and the content of branched chain amino acids in the diet, the  
84 analysis of carnitine species could support the understanding of protein and fat catabolism in  
85 infants and clarify if differences in fatty acid catabolism would lead to differences in the blood  
86 lipids in infants.

87 The Australian TIGGA study evaluated growth and nutritional status of infants fed a whole goat  
88 milk-based infant formula (GIF) containing milk fat and MFGM compared to a cow milk based  
89 infant formula (CIF) without milk fat and MFGM. GIF was found to be well tolerated and  
90 provided equivalent infant growth compared to CIF (31). While the GIF and CIF were iso-  
91 caloric and matched as close as possible for macronutrient content, the use of different fat  
92 sources resulted in differences in fatty acid composition, which may explain most of the  
93 differences previously reported in the infant plasma glycerophospholipids (32).

94 In the current study, we aimed to explore effects of these formulas on infant plasma SM and  
95 acylcarnitine species in comparison to a reference group of breastfed infants.

96

**97 Methods****98 Subjects and Study procedure**

99 Plasma samples were obtained from infants participating in the TIGGA study, a multicenter,  
100 double blind, controlled feeding trial in Australia (Australian New Zealand Clinical Trials  
101 Registry ACTRN12608000047392). Details on the study design and participating subjects were  
102 published (31). Healthy term infants with a birth weight between 2.50 and 4.75 kg and age 2  
103 weeks or less were included and randomized to receive either GIF or CIF (control) exclusively  
104 until at least four months of age. Exclusively breastfed infants were enrolled as a non-  
105 randomized reference group. The intervention formula GIF was manufactured using whole goat  
106 milk and for the control formula CIF cow skimmed milk and whey proteins were used. Both  
107 formulas were provided by Dairy Goat Co-operative (Hamilton, New Zealand). Macronutrient  
108 composition of the formulas was very similar, but there were some differences in micronutrient  
109 contents (31). The fat component of the GIF was a blend of 60% goat milk fat (and MFGM)  
110 and 40% vegetable oils, while the fat component of the control formula was a blend of vegetable  
111 oils emulsified with soy lecithin (33). This resulted in a higher proportion of decanoic acid  
112 (7.3% vs. 2.1%) and a lower proportion of lauric acid (4.2% vs. 3.5%) in GIF (32). Long chain  
113 fatty acid percentages were similar between groups, but odd chain heptadecanoic acid was four  
114 times higher in GIF than in CIF at 0.1% and 0.4%, respectively (32).

115 At four months of age, plasma samples were obtained from 80% of the 301 recruited infants.  
116 In this study, we included 144 subjects (GIF = 57, CIF = 50, human milk = 37). They were the  
117 subgroup with an available plasma aliquot for measuring SM and carnitine species.

**118 Sphingomyelin and carnitine species analysis**

119 Targeted mass spectrometric analyses were performed at the Department of Pediatrics (LMU  
120 Munich, Germany) from 50  $\mu$ L plasma as previously described (34). Briefly, proteins were  
121 precipitated by adding 450  $\mu$ L methanol containing as internal standards dimyristoyl-PC

122 (Sigma, Deisenhofen, Germany) and acetyl-L-carnitine-d3, octanoyl-L-carnitine-d3 and  
123 palmitoyl-L-carnitine-d3 (Euriso-top, Saarbrücken, Germany). After centrifugation the  
124 supernatant was further diluted with methanol and used for analysis of SM and carnitine species  
125 by flow injection - mass spectrometry with a 1200 SL HPLC system (Agilent, Waldbronn,  
126 Germany) coupled to a 4000QTRAP tandem mass spectrometer (AB Sciex, Darmstadt,  
127 Germany). Positive ionization was applied and multiple reaction monitoring was used. Samples  
128 (six technical replicates) of the study formulas were analyzed as described for the plasma  
129 samples after preparation according to the manufacturer instructions (14.0g/100ml water).

130 Quantification including background subtraction and isotopomer correction were done using an  
131 in-house programmed R script. Semiquantitative concentrations were obtained by comparing  
132 the signal-to-internal standard-ratios of samples with the corresponding ratios of a control  
133 plasma (Recipe, Munich, Germany), whose SM and carnitine species were quantified using the  
134 Biocrates® AbsoluteIDQ p150 Kit (Innsbruck, Austria).

135 The applied analytical technique is not capable of determining the positions of double bonds,  
136 thus measured SM and acylcarnitine species were annotated by the total number of carbon  
137 atoms and the total number of double bonds. For interpretation, the most likely number of  
138 carbon atoms in the sphingosine backbone and the N-acyl fatty acid was used. Aliquots of a  
139 mixture of plasma samples collected from healthy children were used as quality controls and  
140 consistently measured between study samples. Based on the measurement of 18 quality control  
141 aliquots, concentration data ( $\mu\text{mol/l}$ ) for SM, free carnitine, and carnitine esters, where the  
142 coefficient of variation was below 30%, were accepted and included into the data analysis.

### 143 **Statistics**

144 Concentrations ( $\mu\text{mol/L}$ ) are presented as mean and standard deviation. Groups were compared  
145 by ANOVA and post-hoc Bonferroni corrected comparisons of individual groups were  
146 performed. Due to multiple testing, the level of significance  $p < 0.05$  was adapted for 20 SM  
147 species or 17 carnitine species, respectively. Correlation analyses were performed according to

148 Pearson. A principal component analysis was carried out to visualize eventual separation of  
149 subjects according to the study groups. All statistical tests were performed with SPSS software  
150 version 26 (IBM, NY, USA).

151

## 152 **Results**

153 Twenty-five SM species could be quantified (coefficient of variation 22% or less) in the GIF  
154 and CIF formulas (**Table 1**). Measured total SM content was similar (41.9 and 42.0  $\mu\text{mol/l}$  in  
155 the GIF and CIF, respectively). The species concentration patterns were also similar with the  
156 exception of SM39.1, which was three times higher in CIF.

157 The plasma concentrations of most of the 20 SM species were higher in the breastfed group  
158 than in the formula groups, yielding significantly ( $p<0.001$ ) higher total SM in the breastfed  
159 group ( $296\pm 57 \mu\text{mol/l}$ ) compared to  $238\pm 41 \mu\text{mol/l}$  in the GIF group and  $244\pm 46 \mu\text{mol/l}$  in the  
160 CIF group (**Table 2**). In the two formula groups concentrations were similar, with significant  
161 group differences only for four SM species (**Table 2**). As the total of analyzed SM species was  
162 different between the breast-fed and formula fed groups, we also explored the percentage  
163 contribution of each species to total SM (**Supplementary Table S1**), which revealed a number  
164 of significant, but small differences between groups. In all groups, the highest plasma  
165 concentration was found for SM34:1 followed by SM42:2. The contributions of SM40:2,  
166 SM38:1 and SM36:1 were rather similar in all groups.

167 Effects of the different formula fatty acid compositions in the TIGGA study on plasma  
168 glycerophospholipid species have previously been published (32). In the present study, we only  
169 investigated associations between SM and glycerophospholipids. Correlation analyses of  
170 plasma concentrations revealed that the sum of analyzed glycerophosphoethanolamines,  
171 Lyso-glycerophosphoethanolamines, Lyso-PC and carnitine species was not correlated with  
172 total SM, but there was a highly significant association with the sum of the PC species ( $r=$   
173  $0.622$ ,  $p<0.001$ ). Of the 36 PC species, quantified in at least 50% of the subjects, 23 were

174 significantly associated with total SM with  $r > 0.5$  in at least one of the study groups (**Table 3**).  
175 There was a clear trend towards closer correlations in the breastfed group than in the formula  
176 fed groups. The r-values for PC species containing palmitic acid seemed higher than those of  
177 species with stearic acid (**Table 3**). Plasma PC16:0\_16:0 showed the highest correlations with  
178 total SM in all study groups (**Figure 1**).

179 The 17 studied carnitine esters did not show a consistent picture, although there were some  
180 small, but significant, group differences in the concentrations of individual species (**Table 4**).  
181 Principle components of the measured carnitine species graphically indicated the similarity  
182 between the groups (**Figure 2**). In line with the concentrations, the plot of principal component  
183 1 vs. principal component 2 for the SM species indicates some differentiation between the  
184 groups with the GIF group being more similar to human milk than the CIF group (**Figure 2**,  
185 **Table 2**). SM and carnitine species analyses stratified for infant sex did not show different  
186 findings for males and females, respectively (data not shown).

## 187

## 188 **Discussion**

189 The CIF and GIF formulas had been shown to be equivalent with respect to infant growth and  
190 wellbeing, but there were some biochemical differences, including higher plasma valine (31)  
191 and higher myristic acid and palmitoleic acid-containing PC species (32) in the GIF group. In  
192 the current analyses, we found small differences in the plasma concentrations of SM and  
193 carnitine species between the formula groups. Similar to the previous findings for PC species  
194 (32), there was a marked difference between formula-fed and breastfed groups with  
195 significantly higher total SM in breastfed infants.

196 Although the fat and emulsifier sources for both study formulas were different, total SM (42  
197  $\mu\text{mol/L}$ ) was similar in the range reported recently for other infant formulas which were mostly  
198 at lower levels than in human milk (35-37). For GIF the presence of SM is expected as MFGM

199 of the whole goat milk were included into the formula (38). The presence of SM in CIF is  
200 probably a residual from the whey protein ingredient used in the manufacture of CIF (39, 40).  
201 In both formulas SM34:1, SM40:1, SM41:1 and SM 42:1 were among the five species with the  
202 highest concentrations and contributed together on a molar basis 64% (GIF) and 57% (CIF) to  
203 total SM. This is in agreement with findings in cow and goat milk (41). Assuming that  
204 sphingosine is the dominant sphingoid base, this confirms that saturated fatty acids are  
205 preferentially incorporated into SM (39). In agreement with Wei et al (41), SM39:1 was higher  
206 in CIF than in GIF (10.2% vs 3.2%), although other SM species, probably also including odd  
207 chain fatty acids, were not different between formulas.

208 Our observation that total plasma SM is higher in breastfed infants than in formula-fed infants  
209 does not agree with previous findings based on the analysis of blood spots collected at the age  
210 of three months in the Cambridge Birth Cohort Study (25). However, similar findings were  
211 reported in the BEMIM trial, where about half of the SM species quantified in plasma were  
212 higher in the breastfed than in the formula-fed groups (24). Furthermore, in an Australian cohort  
213 at the age of 6 months total serum SM was found significantly higher in breastfed than in  
214 formula-fed infants (26). We speculate that SM levels in lipoproteins differ more between  
215 formula-fed and breastfed infants than SM incorporated into red blood cells.

216 The intestinal activity of sphingomyelinases and ceramidases releases absorbable sphingosine  
217 from dietary SM (42). After absorption, only a portion of the sphingosine is recycled into  
218 ceramides and SM, whereas a major part is broken down to palmitic acid and ethanolamine in  
219 intestinal cells (43, 44). Thus, increased sphingosine availability may only have a limited effect  
220 on systemic SM synthesis. However, in preterm infants significantly higher contributions of  
221 SM to total plasma phospholipids have been observed in infants receiving a formula with higher  
222 SM content (23). Thus, this suggests that higher SM intake can increase SM levels in the  
223 circulation. In addition higher cholesterol intake and blood concentrations, including higher  
224 LDL:HDL cholesterol ratio, in breastfed infants compared to formula-fed infants (45-47) might

225 contribute to the higher SM in the breastfed group, as suggested in observational studies in older  
226 adults where all measured SM species were significantly positively associated with total  
227 cholesterol and SM percentage of total lipids was higher in LDL than in HDL (48-50).

228 In our study, the observed SM species concentration differences between formula groups were  
229 not related to the small percentage differences of myristic, palmitic, stearic and oleic acid  
230 between GIF and CIF. The C17:0 content was four times higher in GIF than in CIF formula,  
231 which agrees with the typically higher odd chain fatty acid contents in ruminant derived fat  
232 compared to vegetable oils (51) and compared to CIF, the GIF group had a higher plasma  
233 content and percentage of SM 35:1, which can plausibly be annotated as SMd18:1/17:0 or  
234 SMd17:1/18:0. Serine palmitoyl transferase accepts fatty acids with chain length of 14 – 18 C-  
235 atoms as substrates (52) and heptadecanoic acid falls well into the substrate spectrum of  
236 ceramide synthases 4 to 6 (53). Thus, SM35:1 could be generated via both routes. Relative to  
237 the small C17:0 content of the formulas (0.1% and 0.4% for CIF and GIF, respectively), the  
238 difference of the contents seems relevant and could become visible in the SM pattern.

239 A lipidomic study exploring biomarkers highlights the potential importance of endogenous  
240 metabolism and early life programming (54). Levels of SM39:1 could differentiate control  
241 infants from infants born small for gestational age or born to mothers who developed gestational  
242 diabetes, whereas there were no differences between breastfed, formula-fed or mixed-fed  
243 infants (54).

244 High fat diets increased total SM and ceramides levels in animal studies, but the increase was  
245 significantly higher with palmitate compared to medium chain fatty acids (55, 56). Furthermore,  
246 a SM lowering effect of an olive oil diet compared to a coconut oil diet was observed in rats  
247 (57). This is in line with our finding of a high correlation between total SM and dipalmitoyl-PC  
248 (**Figure 1**). Furthermore, this may contribute to the similar total SM in both formula groups, as  
249 the weight percentages of short and medium chain fatty acids (C4 to C14) were very similar in  
250 both groups with about 19%, while it has been found lower around 14% in Australian human

251 milk (58). The palmitic acid percentage of 22.3% in Australian milk reported by Yuhas et al is  
252 only slightly higher than the percentages in the study formulas (GIF: 17.4%, CIF: 21.7%) (58).  
253 Nevertheless, considering that in human milk in contrast to formula the majority of palmitic  
254 acid is sn-2 bound and better absorbed, this might as well contribute to the higher SM level in  
255 the breastfed group than in the formula infants (59).

256 A prominent role of palmitic acid appears not surprising as palmitic acid is combined in the  
257 initial step of SM synthesis with serine to form 3-ketosphinganine, and palmitoyl-CoA is used  
258 as substrate fatty acid for the N-acylation of dihydrosphinganine by ceramide synthases 5 and  
259 6 (53). Of note, the so far described six ceramide synthases have different substrate preferences  
260 and well documented tissue specific expression (53). While some ceramide synthases transfer  
261 very long chain fatty acids (C22-C26), others have preferences for shorter acyl chains from 14  
262 – 20 carbon atoms (53). The important role of ceramide synthases for SM species composition  
263 is supported by the observation in rats that fatty acid infusion increased total SM species  
264 composition was not determined by the composition of the infused fatty acids (60).

265 The acyl chain length of ceramides seems important regarding cardiovascular disease risk, with  
266 the shorter chain ceramides showing more detrimental effects (61, 62). Such observations have  
267 so far not been reported for SM species, but similarities of the SM and ceramide species patterns  
268 can be expected, considering that SM are metabolically closely interlinked with ceramides.  
269 Ceramides are intermediates in SM synthesis, and the larger SM pool acts as a precursor pool  
270 for ceramides, if sphingomyelinase converts SM to ceramides (55).

271 The observed levels of free carnitine and acylcarnitines were in the range found in three to four  
272 month-old infants in a recent German study (63). Similar to the group differences in the SM  
273 species, corresponding differences among plasma carnitine esters were not closely related to  
274 differences in the fatty acid composition of the study formulas. Although carnitine content was  
275 higher in CIF compared GIF (3.3 vs 1.2 mg/100 kcal), plasma free carnitine and acetyl-  
276 carnitine, which contributed together 95% or more to total measured carnitine species, were not

277 different between the groups. Although carnitine levels can be influenced by diet, intake  
278 differences may be attenuated by endogenous synthesis. Since the ratio of free carnitine to total  
279 carnitines is clearly above 0.7 in all groups, there are no indications of carnitine deficiency in  
280 any group (64). Only the higher lauric acid percentage in CIF (about 3 times higher than in GIF)  
281 was reflected in a significantly higher lauric acid carnitine ester content, whereas other smaller  
282 differences in the formula fatty acid composition did not seem to influence acylcarnitine levels.  
283 Low correlations between serum phospholipid long chain fatty acids and acylcarnitines, as  
284 observed in an adult cohort, agree with the assumption of a limited influence of diet on carnitine  
285 species, as it is known that dietary fatty acids are reflected in serum phospholipids (65).  
286 Serum levels of acylcarnitines with six or more carbon atoms are assumed to represent  
287 intermediates of the fatty acid beta-oxidation, thus reflecting mitochondrial processes rather  
288 than substrate availability (66, 67). Beta-oxidation of fatty acids does not seem much different  
289 between the study groups, although there seems to be a trend towards higher levels of medium  
290 and longer chain acylcarnitines in the breastfed infants.

291 It is important to note that differences in branched chain amino acid intake not only change  
292 plasma amino acids, but also the levels of the carnitine esters of their C3, C4 and C5 breakdown  
293 products (68). In the TIGGA study, the feeding of formulas and human milk induced only small  
294 differences in plasma levels of leucine and isoleucine, respectively, but in both formula groups  
295 plasma valine concentration was significantly higher than in the breastfed group (31). The  
296 valine difference was reflected by significantly higher C4-carnitine, which includes the  
297 carnitine ester of the valine catabolite isobutyric acid, in the formula groups compared to the  
298 breastfed group. In our study the serum concentration of octenoyl carnitine (Carn 8:1) was about  
299 twice as high in the CIF group than in the GIF group, although both its precursor in the beta-  
300 oxidation and its hydration product generated in the next step of the cycle (Carn8:0-OH) were  
301 not different between the groups. We cannot identify a mechanism to explain this finding, which

302 might be a chance finding, also considering the unclear identity of the signal annotated as C8:1  
303 carnitine (69).

304 In a Swedish study comparing formulas with or without MFGM with a breastfed reference  
305 group, plasma samples were collected at the age of six months for metabolomics (70). The study  
306 found higher levels of some long chain acylcarnitines and ketones but lower short chain  
307 acylcarnitines in the breastfed infants than in both formula-fed groups. This was interpreted as  
308 indication for more fat oxidation in breastfed infants, while formula-fed infants showed more  
309 protein catabolism (70). Although only statistically significant for palmitoyl-carnitine and  
310 butyryl-carnitine, a corresponding trend could be observed in the TIGGA study.

311 Other extensive analyses of carnitine and SM species in breastfed and formula-fed infants have  
312 been reported (26), but this is one of the first studies quantifying individual SM plasma  
313 concentrations in infants in relation to mode of feeding. A high number of SM species could be  
314 quantified with our method, and the only assumed major missing species was SM40:1, the  
315 docosanoic acid containing SM species. Nevertheless, there are some limitations to our study.

316 The conclusions drawn from this study are based the comparison of human milk and formulas  
317 produced from different raw materials. The various compositional differences preclude a  
318 definitive allocation of findings to a specific compound. Furthermore, only plasma samples  
319 were analyzed and conclusions might be different if red cells or even tissue samples would have  
320 been available for analysis. The interpretation would have benefited from a more detailed  
321 knowledge of the polar lipids of the infant formulas and even more from the availability of  
322 human milk samples from the breastfed group. We could not identify all SM and carnitine  
323 species precisely, as the positions of double bonds could not be located, but this could widely  
324 be overcome by valid assumptions based on pre-existing knowledge.

325 In conclusion we show that total SM plasma concentration is higher in breastfed than in  
326 formula-fed infants, which might be related to higher SM level in human milk compared to the  
327 study formulas, but differences in cholesterol metabolism and lipoproteins between breastfed

328 and formula-fed infants and further not identified factors may contribute as well. The species  
329 composition of plasma SM and acylcarnitines is not closely related to the dietary fatty acid  
330 composition or the addition of MFGM lipids to formula. We identified significant positive  
331 correlations between saturated PC species and the total SM plasma concentration, which might  
332 indicate options for modulating serum and potentially tissue SM contents. Human milk, CIF or  
333 GIF feeding did not induce differences between plasma SM and carnitine species patterns that  
334 would indicate major differences in sphingolipid metabolism or fatty acid oxidation. Further  
335 studies should explore associations between SM and ceramide species, which are considered  
336 important risk factors for atherosclerotic disorders (71).

337

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339 MM, RAG, and CP designed research; SJZ and OU conducted research; HD and OU analyzed  
340 data; HD and SG wrote the paper. BK had primary responsibility for final content. All authors  
341 read and approved the final manuscript.

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### **Data Availability:**

Data described in the manuscript, code book, and analytic code will be made available upon request pending application approval and permitted by applicable rules of personal data protection.

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342 **Table 1:** Concentrations of individual sphingomyelin (SM) species in ready to use cow milk-  
 343 based (CIF) and whole goat milk-based (GIF) infant formulas ( $\mu\text{mol/l}$ ) and percentage  
 344 contributions of the 25 analyzed species to total SM

	GIF ( $\mu\text{mol/l}$ )	GIF (%)	CIF ( $\mu\text{mol/l}$ )	CIF (%)
SM28:1	0.1	<1	ND*	<1
SM32:1	1.2	3	1.5	4
SM33:1	0.6	1	1.4	3
SM34:1	8.8	21	8.4	20
SM34:2	0.2	1	0.3	1
SM35:0	0.2	<1	0.2	<1
SM35:1	1.0	2	0.5	1
SM36:0	0.4	1	0.5	1
SM36:1	2.7	6	1.1	3
SM36:2	0.3	1	0.2	<1
SM37:1	0.5	1	0.3	1
SM38:1	1.0	2	2.1	5
SM38:2	0.2	1	0.2	1
SM39:1	1.3	3	4.3	10
SM39:2	0.2	<1	0.8	2
SM40:1	3.4	8	4.6	11
SM40:2	0.9	2	1.3	3
SM41:1	8.6	20	6.9	16
SM41:2	1.2	3	1.5	4
SM42:1	5.9	14	4.0	9
SM42:2	1.6	4	1.1	3
SM43:1	0.9	2	0.4	1
SM43:2	0.4	1	0.3	1
SM44:1	0.2	<1	0.1	<1
SM44:2	0.1	<1	0.1	<1
total SM	41.9		42.0	

345 \*not detected

346 **Table 2:** Plasma concentrations of sphingomyelin (SM) species ( $\mu\text{mo/l}$ ,  $M\pm SD$ ) of infants fed  
 347 goat milk based infant formula (GIF), cow milk based infant formula (CIF) or human milk  
 348 (HM); P-values relate to Bonferroni corrected group comparisons post ANOVA considering  
 349 multiple testing (20 species, significance level  $p=0.0025$ ).

	GIF (n=57)	CIF (n=50)	HM (n=37)	p-value CIF-GIF	p-value GIF - HM	p-value CIF - HM
SM32:1	8.18 $\pm$ 2.50	12.32 $\pm$ 3.03	10.19 $\pm$ 2.68	<b>3.5E-12</b>	<b>2.0E-03</b>	<b>1.4E-03</b>
SM32:2	0.64 $\pm$ 0.28	0.48 $\pm$ 0.25	0.64 $\pm$ 0.31	9.4E-03	1.0E+00	2.6E-02
SM33:1	5.03 $\pm$ 1.25	5.14 $\pm$ 1.51	5.33 $\pm$ 1.61	1.0E+00	9.7E-01	1.0E+00
SM34:0	1.60 $\pm$ 0.61	1.47 $\pm$ 0.68	2.31 $\pm$ 0.61	8.3E-01	<b>1.6E-06</b>	<b>3.1E-08</b>
SM34:1	76.7 $\pm$ 14.6	75.3 $\pm$ 14.5	97.1 $\pm$ 22.4	1.0E+00	<b>1.8E-07</b>	<b>6.4E-08</b>
SM34:2	10.13 $\pm$ 1.99	9.16 $\pm$ 1.72	12.99 $\pm$ 3.29	9.9E-02	<b>1.1E-07</b>	<b>1.1E-11</b>
SM35:1	2.73 $\pm$ 0.69	1.55 $\pm$ 0.49	2.88 $\pm$ 1.04	<b>4.7E-13</b>	9.6E-01	<b>2.6E-13</b>
SM36:1	16.0 $\pm$ 3.6	18.3 $\pm$ 4.6	25.6 $\pm$ 7.2	7.2E-02	<b>7.7E-15</b>	<b>2.1E-09</b>
SM36:2	6.65 $\pm$ 1.45	4.69 $\pm$ 1.33	9.29 $\pm$ 3.00	<b>1.9E-06</b>	<b>4.7E-09</b>	<b>4.1E-20</b>
SM38:1	21.4 $\pm$ 5.9	26.3 $\pm$ 6.9	23.7 $\pm$ 6.4	<b>3.5E-04</b>	2.6E-01	1.9E-01
SM38:2	9.40 $\pm$ 2.63	9.79 $\pm$ 2.41	9.06 $\pm$ 2.18	1.0E+00	1.0E+00	5.2E-01
SM38:3	0.44 $\pm$ 0.19	0.40 $\pm$ 0.14	0.35 $\pm$ 0.12	6.1E-01	1.8E-02	3.5E-01
SM39:1	6.41 $\pm$ 2.23	7.87 $\pm$ 2.69	5.03 $\pm$ 1.95	4.6E-03	1.9E-02	<b>3.4E-07</b>
SM40:2	22.7 $\pm$ 7.1	24.0 $\pm$ 6.1	24.9 $\pm$ 5.6	9.1E-01	3.0E-01	1.0E+00
SM40:4	0.02 $\pm$ 0.01	0.02 $\pm$ 0.01	0.04 $\pm$ 0.01	1.0E+00	<b>1.9E-12</b>	<b>3.5E-12</b>
SM40:5	0.31 $\pm$ 0.18	0.25 $\pm$ 0.14	0.41 $\pm$ 0.20	1.3E-01	3.7E-02	<b>9.6E-05</b>
SM42:1	17.8 $\pm$ 4.8	14.7 $\pm$ 4.8	18.3 $\pm$ 4.4	2.9E-03	1.0E+00	<b>1.5E-03</b>
SM42:2	32.8 $\pm$ 7.9	32.4 $\pm$ 8.5	45.0 $\pm$ 12.5	1.0E+00	<b>2.6E-08</b>	<b>2.4E-08</b>
SM42:6	0.72 $\pm$ 0.59	0.78 $\pm$ 0.51	1.22 $\pm$ 0.74	1.0E+00	<b>4.4E-04</b>	3.2E-03
SM37:2	0.18 $\pm$ 0.10	0.20 $\pm$ 0.12	0.18 $\pm$ 0.10	7.9E-01	1.0E+00	1.0E+00
Total SM	238 $\pm$ 41	244 $\pm$ 46	296 $\pm$ 57	1.0E+00	<b>4.7E-07</b>	<b>9.5E-06</b>

351 **Table 3:** Pearson correlation coefficients (higher values: red, lower values: blue) between  
 352 individual glycerophosphocholine (PC) species concentrations\* and total SM concentration  
 353 stratified according to study groups.

PC species	GIF	CIF	HM
PC16:0_14:0	0.533	0.476	0.497
PC16:0_16:0	0.633	0.751	0.822
PC16:0_16:1	0.354	0.527	0.655
PC16:0_18:1	0.643	0.688	0.751
PC16:0_18:2	0.497	0.546	0.443
PC16:0_20:1	0.299	0.179	0.738
PC16:0_20:2	0.46	0.452	0.735
PC16:0_20:3	0.37	0.405	0.621
PC16:0_20:4	0.258	0.649	0.765
PC16:0_22:4	0.097	0.613	0.490
PC16:0_22:5n3	0.359	0.397	0.642
PC16:0_22:5n6	0.229	0.688	0.557
PC16:0_22:6	0.286	0.623	0.574
PC16:1_18:1	0.369	0.399	0.600
PC18:0_16:0	0.504	0.652	0.68
PC18:0_18:1	0.494	0.553	0.503
PC18:0_18:2	0.475	0.537	0.478
PC18:0_20:2	0.358	0.333	0.581
PC18:0_20:3	0.39	0.368	0.603
PC18:0_20:4	0.255	0.655	0.761
PC18:0_22:5n3	0.3	0.361	0.55
PC18:0_22:6	0.288	0.555	0.563
PC18:1_20:4	0.266	0.553	0.673

354 \*concentrations according to (32), determined by liquid chromatography – tandem mass spectrometry

355

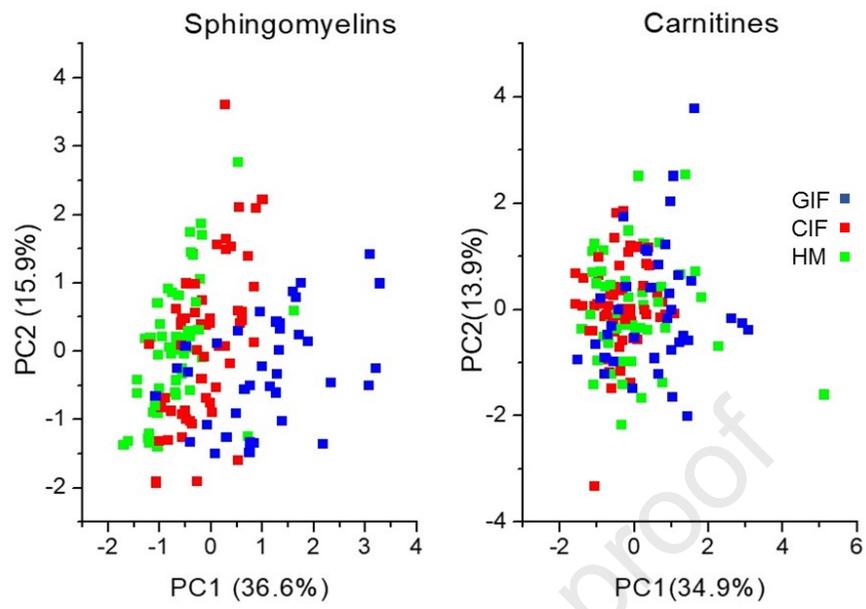
356 **Table 4:** Plasma concentrations of carnitine species ( $\mu\text{mol/}$ ,  $M\pm SD$ ) of infants fed goat milk  
 357 based infant formula (GIF), cow milk based infant formula (CIF) or human milk (HM). P-  
 358 values relate to Bonferroni corrected group comparisons post ANOVA considering multiple  
 359 testing (17 species, significance level 0.003).

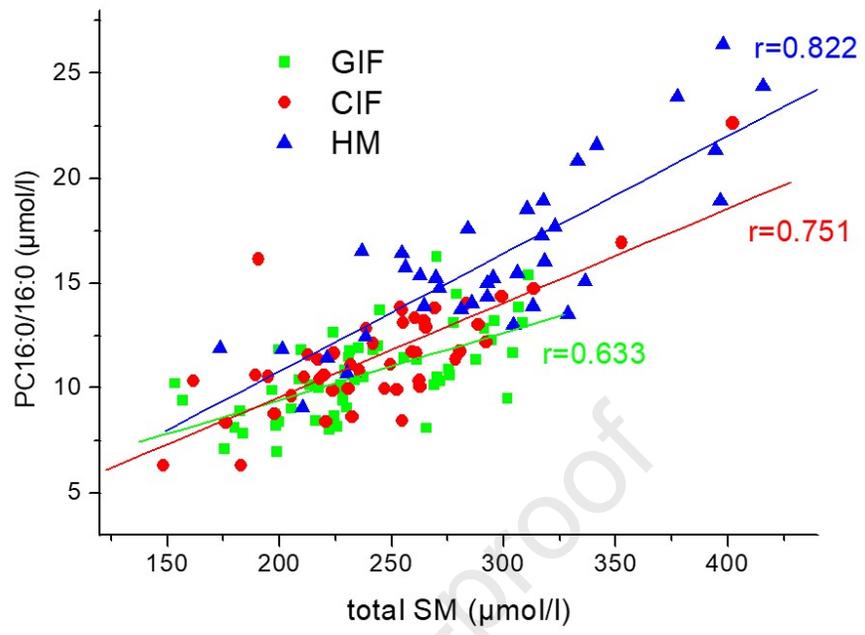
	GIF (n=57)	CIF (n=50)	HM (n=37)	CIF vs. GIF	GIF vs. HM	CIF vs. HM
Free Carn	56 $\pm$ 10	56 $\pm$ 8	55 $\pm$ 11	1.0E+00	1.0E+00	1.0E+00
Carn2:0	1.8 $\pm$ 0.9	2.2 $\pm$ 1.0	2.3 $\pm$ 1.1	1.9E-01	5.1E-02	1.0E+00
Carn3:0	0.30 $\pm$ 0.07	0.30 $\pm$ 0.10	0.34 $\pm$ 0.14	1.0E+00	2.5E-01	2.2E-01
Carn4:0	0.11 $\pm$ 0.04	0.11 $\pm$ 0.04	0.08 $\pm$ 0.02	1.0E+00	<b>3.5E-04</b>	<b>1.7E-04</b>
Carn5:0	0.23 $\pm$ 0.05	0.22 $\pm$ 0.06	0.21 $\pm$ 0.07	1.0E+00	3.4E-01	9.0E-01
Carn6:0- OH	0.04 $\pm$ 0.01	0.05 $\pm$ 0.02	0.04 $\pm$ 0.02	8.4E-01	1.0E+00	4.0E-01
Carn8:0	0.10 $\pm$ 0.03	0.12 $\pm$ 0.06	0.14 $\pm$ 0.05	3.4E-01	<b>2.9E-04</b>	4.2E-02
Carn8:0- OH	0.02 $\pm$ 0.01	0.02 $\pm$ 0.01	0.02 $\pm$ 0.01	1.0E+00	1.8E-01	6.8E-01
Carn8:1	0.27 $\pm$ 0.09	0.53 $\pm$ 0.19	0.21 $\pm$ 0.12	<b>9.6E-17</b>	1.2E-01	<b>2.9E-19</b>
Carn10:0	0.24 $\pm$ 0.08	0.25 $\pm$ 0.16	0.33 $\pm$ 0.15	1.0E+00	3.6E-03	1.3E-02
Carn10:1	0.10 $\pm$ 0.03	0.14 $\pm$ 0.04	0.13 $\pm$ 0.06	<b>3.7E-06</b>	2.4E-02	1.7E-01
Carn12:0	0.13 $\pm$ 0.04	0.17 $\pm$ 0.06	0.19 $\pm$ 0.06	<b>1.2E-03</b>	<b>2.8E-06</b>	2.5E-01
Carn12:1	0.07 $\pm$ 0.02	0.08 $\pm$ 0.04	0.10 $\pm$ 0.04	2.4E-01	<b>1.9E-04</b>	4.6E-02
Carn14:0	0.06 $\pm$ 0.02	0.06 $\pm$ 0.02	0.08 $\pm$ 0.03	1.0E+00	6.0E-02	1.5E-01
Carn14:1	0.06 $\pm$ 0.02	0.09 $\pm$ 0.04	0.07 $\pm$ 0.03	<b>1.7E-05</b>	4.7E-01	1.6E-02
Carn16:0	0.10 $\pm$ 0.05	0.11 $\pm$ 0.03	0.15 $\pm$ 0.05	1.0E+00	<b>2.5E-05</b>	<b>4.3E-04</b>
Carn18:1	0.14 $\pm$ 0.07	0.15 $\pm$ 0.06	0.15 $\pm$ 0.05	3.9E-01	9.6E-01	1.0E+00

361 **Figure 1:** Association between the concentration of dipalmitoyl-glycerophosphocholine  
362 (PC16:0\_16:0) and total sphingomyelin (SM) concentration stratified according to the study  
363 groups GIF (n=55), CIF (n=48) and HM (n=36)  
364

365  
366 **Figure 2:** Score plot of principal components 1 and 2 for sphingomyelin species (left panel)  
367 and the carnitine species (right panel).  
368

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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